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**TO:** U. S. Patent and Trademark Office  
Technology Center 1700  
Attn: Examiner, John Christopher Douglas  
Art Unit: 1764

**FAX No.:** (571) 273-8300

**DATE:** June 5, 2007

**FROM:** David W. Westphal - Reg. 32,673

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**CLIENT NO.:** 1856-38100 (9852)

**TOTAL NUMBER OF PAGES (INCLUDING THIS ONE):** 3

**COMMENTS:Re:** U.S. Patent Application No. 10/802,974  
Filing Date: March 17, 2004  
Applicant(s): Sridhar Gopalakrishnan et al

**The following documents are attached for filing:**

- Transmittal Form (PTO/SB/021) (1 p.)
- Notice of Appeal from the Examiner to The Board of Patent Appeals and Interferences (1 pg)

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No. 1674 P. 2

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PTO/SB/21 (04-07)

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## TRANSMITTAL FORM

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Total Number of Pages in This Submission

3

Application Number

10/802,974

Filing Date

March 17, 2004

First Named Inventor

Sridhar Gopalakrishnan

Art Unit

1784

Examiner Name

John C. Douglas

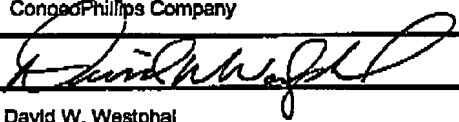
Attorney Docket Number

1858-38100 (9852)

### ENCLOSURES (Check all that apply)

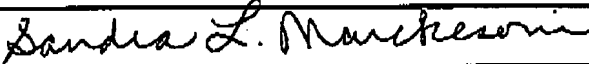
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	- Notice of Appeal SB/31 ( 1 pg)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	
<b>Remarks</b>		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	CongcoPhillips Company		
Signature			
Printed name	David W. Westphal		
Date	June 5, 2007	Reg. No.	32,873

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Typed or printed name	Sandra L. Markeson	Date	June 5, 2007

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**NOTICE OF APPEAL FROM THE EXAMINER TO  
THE BOARD OF PATENT APPEALS AND INTERFERENCES**

Docket Number (Optional)

1856-38100 (9852)

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on June 5, 2007

Signature Sandra L. Marckesoni

Typed or printed name Sandra L. Marckesoni

In re Application of  
**Sridhar Gopalakrishnan et al**

Application Number  
**10/802,974**

Filed  
**March 17, 2004**

For **Hydroprocessing Methods and Apparatus for Use in the Preparation of Liquid Hydrocarbons**

Art Unit  
**1764**

Examiner  
**John C. Douglas**

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the last decision of the examiner.

The fee for this Notice of Appeal is (37 CFR 41.20(b)(1))

\$ 500.00

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is:

\$ \_\_\_\_\_

☐ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Director has already been authorized to charge fees in this application to a Deposit Account. I have enclosed a duplicate copy of this sheet.

☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 16-1575. I have enclosed a duplicate copy of this sheet.

☐ A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

I am the

☐ applicant/inventor.

☐ assignee of record of the entire interest.  
See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed.  
(Form PTO/SB/98)

☒ attorney or agent of record. 32,673  
Registration number \_\_\_\_\_

☐ attorney or agent acting under 37 CFR 1.34.  
Registration number if acting under 37 CFR 1.34. \_\_\_\_\_

  
Signature

**David W. Westphal**

Typed or printed name

580-767-4958

Telephone number

June 5, 2007

Date

**NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.**

☒ \*Total of 1 forms are submitted.

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